Exam.Code:5174
Sub. Code: 14573

2056

B.Com. (Finance Markets) FYUP

Fourth Semester

NBCM -401: Introduction to Securities Market

Time allowed: 3 Hours Max. Marks: 80

NOTE: Attempt four short answer type questions from Section–A. Attempt two questions each from Section B and C respectively.

x-x-x

Section - A

I. Attempt *any four* of the following:-

a) Why is diversification important in investment?

b) What is dematerialization?

c) Differentiate between intraday trading and delivery trading.

d) Differentiate between primary and secondary market.

e) What is an IPO?

f) Explain the concept of bull and bear markets and their impact on investors. (4x5)

Section -B

II. What is investment? Differentiate between investment and speculation. (15)

III. Explain the importance of the investment process in decision-making. (15)

IV. Analyze the role of different types of investors. (15)

V. Critically examine different investment alternatives in terms of risk and return.(15)

Section - C

VI. "Secondary market is more important than primary market." Do you agree? Justify. (15)

VII. Discuss the IPO process and agencies involved. (15)

VIII. Explain the trading mechanism in the secondary market. (15)

IX. Describe the role and functions of Securities and Exchange Board of India. (15)

x-x-x