

(i) Printed Pages : 2 Roll No.

(ii) Questions : 14 Sub. Code :

1	2	6	2	4
---	---	---	---	---

Exam. Code :

5	0	2	4
---	---	---	---

Bachelor of Commerce (FYUP) 4th Semester
(2056)

FINANCIAL MARKETS & SERVICES

Paper : NBCM404

Time Allowed : Three Hours] [Maximum Marks : 80

Note :— Attempt any **Four** questions from Section-A. Each question carries **five** marks. Attempt any **two** questions from Section-B. Each question carries **fifteen** marks. Attempt any **two** questions from Section-C. Each question carries **fifteen** marks.

SECTION-A

1. Differentiate between financial assets and real assets.
2. Discuss the role of DFHI.
3. What are the various functions performed by capital market?
4. Explain the concept of Sale and Leaseback with suitable example.
5. State the objectives of Securitization.
6. Compare and contrast factoring and forfaiting with suitable example.

SECTION-B

7. Outline an overview of financial markets in India. What are its functions? Describe its main types.
8. Briefly discuss the various types of instruments that are dealt in money market.
9. Describe the process of pricing of securities in the Primary Market. Compare Fixed Price Method and Book Building Method.
10. Explain the structure and functioning of the Secondary Market. Discuss the price discovery mechanism of securities.

SECTION-C

11. What is merchant banking? Describe the role and functions of Merchant Banking.
12. "Financial services act as the backbone of modern economic development by mobilizing savings, facilitating investment, and managing risk."

In the light of the above statement:

- (a) Explain the different kinds of financial services.
 - (b) Discuss the role of financial services in economic growth and development.
13. Explain the advantages of Mutual Funds for small investors. Discuss different types of mutual fund schemes.
 14. Discuss different types of Unit Linked Insurance products and their role in providing financial security.